

NOTICE OF INTENT

Client Money Protection Schemes for Property Agents (Requirement to Belong to a Scheme etc.) Regulations 2019

This Notice is issued by

Council

Date of Notice

Reference

To

Name and address of property agent

The Council, being an enforcement authority, GIVE NOTICE that the Council is satisfied that on [] your conduct amounted to a breach of the Client Money Protection Schemes for Property Agents (Requirement to Belong to a Scheme etc.) Regulations 2019 by

The amount of a financial penalty imposed is determined by the Council but must not exceed £5,000

The Council hereby proposes to impose a financial penalty for the above offence of

Reasons for issuing the Notice / Details of the breach

You right to make representations

Before a final notice is served you may within the permitted timeframe make written representations to us in relation to the proposed imposition of the monetary penalty. This activates a formal review.

You have 28 days beginning with the day after that on which this Notice was served.

Following a review, we will decide to either

- (a) Continue to impose the amount of the financial penalty;
- (b) Reduce the amount of the financial penalty; or
- (c) Decide not to impose a financial penalty at all

If we decide not to impose a financial penalty the matter will be at an end. However should a financial penalty be decided upon you will be served with a Final Notice setting out the amount of the financial penalty.

Representations are to be sent, within the permitted timeframe, to:

Address of enforcement authority

You may alternatively email your representations to

Signature of Enforcement Officer